



UNDERGROUND STORAGE TANK FINANCIAL RESPONSIBILITY FORM

CERTIFICATION OF FINANCIAL RESPONSIBILITY

Source: §280.111(b)(11)(i) as adopted by A.A.C. R18-12-301(C)

_____ the undersigned Owner or Operator (*Individual or Company*) hereby certifies compliance with the requirements of Subpart H of 40 CFR Part 280. The financial assurance mechanism(s) used to demonstrate financial responsibility (FR) under Subpart H of 40 CFR Part 280 is (are) as follows:

Type of Mechanism (check all that apply):

- | | | |
|--|---|--|
| <input type="checkbox"/> Financial Test of Self-Insurance | <input type="checkbox"/> Letter of Credit | <input type="checkbox"/> Local Government Bond Rating Test |
| <input type="checkbox"/> Guarantee | <input type="checkbox"/> Trust Fund | <input type="checkbox"/> Local Government Financial Test |
| <input type="checkbox"/> Insurance or Risk Retention Group | <input type="checkbox"/> Standby Trust | <input type="checkbox"/> Local Government Guarantee |
| <input type="checkbox"/> Surety Bond | <input type="checkbox"/> Certificate of Deposit | <input type="checkbox"/> Local Government Fund |

Name of Insurer/Company providing FR: _____

Mechanism Number (Insurance Policy No.): _____

Amount of Coverage: Per occurrence: \$ _____ Annual aggregate: \$ _____

Effective Period of Coverage: From _____ To _____

Retroactive Date of Policy* (select one from options below and include applicable date):

- Date of most recent baseline assessment or comparable site characterization: _____
- Date of UST system installation: _____
- Earliest retroactive date of previous insurance policy or alternative FR mechanism: _____

*Note: Policies that do not comply with A.R.S. 49-1006.01.C do not meet state financial responsibility requirements.

Deductible Amount: \$ _____ **Premium Amount:** \$ _____

[Signature of notary]

[Name of notary]

[Date]

[Signature of owner or operator]

[Name of owner or operator]

[Title]

[Date]

[Telephone and Email of owner or operator]

Please submit this form and supporting documents by email or mail. Email is preferred.
If the documents are emailed, hard copies do not need to be mailed.

AZ Dept. of Environmental Quality, ATTN: Jordan Smith | 1110 W. Washington St. | Phoenix, AZ 85007
Email: ustfr@azdeq.gov | Telephone: (602) 771-0354 | (800) 234-5677, ext. 771-0354

Note: This form must be submitted in addition to financial responsibility documentation on an annual basis.