



# APPLICATION

FOR CERTIFICATION UNDER  
THE CLEAN WATER ACT SECTION 401

**For ADEQ Use Only**

LTF No.: \_\_\_\_\_ Date Application Rec'd: \_\_\_\_\_  
Place No.: \_\_\_\_\_ Customer No.: \_\_\_\_\_ AFIS No.: \_\_\_\_\_  
Lat/Long OK: \_\_\_\_\_ Zip OK: \_\_\_\_\_ Orig. Signatures: \_\_\_\_\_

**Project Name:**

**404 Permit Type** (Select one): \_\_\_\_\_ Nationwide Permit or Regional General Permit (**Number:** \_\_\_\_\_)  
\_\_\_\_\_ Individual Permit

**U.S. Army Corps of Engineers Project/File Number** (if available):

---

The application including maps/drawings and signatures may be submitted electronically. Please direct all emails to:

Laurie Sherrill                      Christopher Henninger  
[ls7@azdeq.gov](mailto:ls7@azdeq.gov)                      **And**                      [cph@azdeq.gov](mailto:cph@azdeq.gov)  
(602) 771-4409                      (602) 771-4508

If mailing the application, please mail to the following address:

Arizona Department of Environmental Quality  
Surface Water Section, 401 Certification, 5415A-1  
Attn.: Laurie Sherrill and Christopher Henninger  
1110 West Washington  
Phoenix, Arizona 85007

---

All submitted maps, drawings and other attachments must be either 8½ x 11" or 11 x 17" in size unless other arrangements have been made with the ADEQ project manager.

The sizing or spacing of the application form may be adjusted as needed to fit the required information (attach additional pages as necessary). Signatures should be on one page. Typeface should be an easily readable font (Times New Roman or Arial are preferred) and type size should be 10 point or greater (12 point is preferred). No other changes are acceptable; altered applications will be rejected.

Provide all information. Failure to do so may result in delay in processing or rejection of the application.

**Project Name:**

Notes:

- **Waters of the U.S. (WUS)** as defined by the Corps of Engineers and U.S. Environmental Protection Agency under the Clean Water Act. This certification applies only to activities in any potentially impacted WUS.
- **303[d]-listed Impaired Waters** are surface waters that are identified pursuant to Clean Water Act (CWA) Section 303[d] as impaired (e.g., not meeting surface water quality standards) and as a result merit special consideration. The current 303[d] list of Impaired Waters is available on the ADEQ website via: <http://www.azdeq.gov/index.html>
- **Outstanding Arizona Waters (OAW)** are surface waters classified as an outstanding state resource water by the ADEQ Director pursuant to the Arizona Administrative Code (A.A.C.) R18-11-112. These waters are of exceptional quality and therefore merit special consideration. The current list of OAW is available on the ADEQ website via: <http://www.azdeq.gov/index.html>
- **Temporary means** not longer than the time required to complete the subject activities.
- **Native material/fill** is defined as pollutant-free soil, sand, gravel, etc. that constitutes the streambed or adjacent banks in the immediate area of the permitted work.

**Project Site Location Information**

Street Address (If available. For projects encompassing multiple addresses, either “n/a” or on-site office address acceptable.):

City/town (indicate if near rather than within municipal boundaries):

County:

Site Zip code:

Site telephone (if available):

**Approximate Center of Project** (In degrees, minutes, seconds):

Latitude: \_\_\_\_\_° \_\_\_\_\_' \_\_\_\_\_"

Longitude: \_\_\_\_\_° \_\_\_\_\_' \_\_\_\_\_"

**Directions to project location** (from nearest intersection of major streets/highways). Include maps or drawings displaying location relative to state boundaries and nearby cities, highways, waterbodies, etc.

---

**Impacted and downstream waterbody(ies)** (from Water Quality Standards for Surface Waters [18 A.A.C. 11, Article 1], otherwise use “unnamed” or “unnamed tributary to”). Include flow regime (ephemeral, intermittent or perennial), name of downstream waterbody(ies) and name of watershed (from 18 A.A.C. 11, Article 1).

Example: *Deluge Wash (ephemeral) and several unnamed ephemeral washes, all tributary to the Big Sandy River, in the Bill Williams River Watershed:*

**Project Name:**

---

**Area Of Disturbance** (label units; e.g., acres, linear feet, square feet, square miles, etc.)

1. The total jurisdictional waters within the project boundaries: .
2. The impacted jurisdictional waters: .
3. The project size:

---

**PROJECT DESCRIPTION**

**Project Purpose:**

**Describe Work To Be Performed** (e.g., pad fill, utility crossings, bridges, channel modification, detention pond, etc.), including fill material to be used, armor material, structure material and revegetation / reclamation plan.

**Describe the measures** to be taken in order to control the discharge of pollutants into waters of the U.S. (WUS).

These measures may be procedural or physical in nature, temporary or permanent and must be functional prior to beginning any construction activity other than the creation of the measures themselves.

If, in lieu of entering the information here, a reference is made to another document; e.g., Stormwater Pollution Prevention Plan, the applicable portions of the referenced document must be included with this application.

---

**Attach only the maps or drawings** (either 8½ x 11” or 11 x 17” in size) necessary to provide an overview of the project. If details are needed, the ADEQ project manager will contact the applicant or agent requesting additional material.

The following are required:

U.S.G.S. 7.5 min. Topographic map or other contour map of project area.

Map delineating the ordinary high water mark of jurisdictional waters affected by activity to be certified.

**Project Name:**

**APPLICANT INFORMATION** (Complete all that apply. Use "N/A" for not applicable)

Applicant Name: \_\_\_\_\_ Title: \_\_\_\_\_  
Company, Agency, etc: \_\_\_\_\_  
Phone Number: \_\_\_\_\_ email address: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

I have reviewed the Arizona Water Quality Standards for Surface Waters (18 A.A.C. 11, Article 1) (available through [www.azdeq.gov](http://www.azdeq.gov)).

Application is hereby made for Arizona certification under CWA section 401 for the above-named project. I certify that I am familiar with the information contained or referenced in this application and that the information is true, accurate and complete.

Applicant's Signature: \_\_\_\_\_ Date: \_\_\_\_\_.

*(delete this section if not applicable)*

I hereby authorize (agent) \_\_\_\_\_ to act in my behalf in the processing of this application and to furnish any supplemental information in support of this application. I understand that I am bound by the actions of my agent.

Applicant's Signature: \_\_\_\_\_ Date: \_\_\_\_\_.

Applicant Name: \_\_\_\_\_ Title: \_\_\_\_\_  
Company, Agency, etc: \_\_\_\_\_  
Phone Number: \_\_\_\_\_ email address: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Agent's Signature: \_\_\_\_\_ Date: \_\_\_\_\_.

*(delete this section if applicant is property owner)*

Property Owner Name: \_\_\_\_\_ Title: \_\_\_\_\_  
Company, Agency, etc: \_\_\_\_\_  
Phone Number: \_\_\_\_\_ email address: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Property Owner's Signature: \_\_\_\_\_ Date: \_\_\_\_\_.